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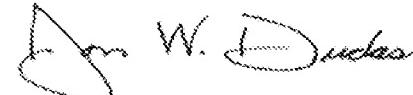
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INVENTOR(s) / APPLICANT(s)			
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TITLE OF THE INVENTION (280 characters max)			
<b>NOVEL COMPOUNDS</b>			
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<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge filing fees and credit Deposit Account No. 19-2570	PROVISIONAL FILING FEE AMOUNT (\$)	<b>\$160.00</b>

Respectfully submitted,  
Signature:

Soma G. Simon

Date: 2/20/14  
Registration No.: 37,444 Additional inventors are being named on separately numbered sheets attached hereto.**PROVISIONAL APPLICATION FILING ONLY**

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**NOVEL COMPOUNDS**  
**SUMMARY OF THE INVENTION**

The present invention involves novel pyridone derivations as Rho-kinase inhibitors.

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**BACKGROUND OF THE INVENTION**

An important large family of enzymes is the protein kinase enzyme family. Currently, there are about 500 different known protein kinases. Protein kinases serve to catalyze the phosphorylation of an amino acid side chain in various proteins by the transfer of the  $\gamma$ -phosphate of the ATP-Mg<sup>2+</sup> complex to said amino acid side 10 chain. These enzymes control the majority of the signaling processes inside cells, thereby governing cell function, growth, differentiation and destruction (apoptosis) through reversible phosphorylation of the hydroxyl groups of serine, threonine and tyrosine residues in proteins. Studies have shown that protein kinases are key regulators of many cell functions, including signal transduction, transcriptional 15 regulation, cell motility, and cell division. Several oncogenes have also been shown to encode protein kinases, suggesting that kinases play a role in oncogenesis. These processes are highly regulated, often by complex intermeshed pathways where each kinase will itself be regulated by one or more kinases. Consequently, aberrant or inappropriate protein kinase activity can contribute to the rise of disease states 20 associated with such aberrant kinase activity. Due to their physiological relevance, variety and ubiquitousness, protein kinases have become one of the most important and widely studied family of enzymes in biochemical and medical research.

The protein kinase family of enzymes is typically classified into two main subfamilies: Protein Tyrosine Kinases and Protein Serine/Threonine Kinases, based 25 on the amino acid residue they phosphorylate. The serine/threonine kinases (PSTK), includes cyclic AMP- and cyclic GMP-dependent protein kinases, calcium- and phospholipid-dependent protein kinase, calcium- and calmodulin-dependent protein kinases, casein kinases, cell division cycle protein kinases and others. These kinases are usually cytoplasmic or associated with the particulate fractions of cells, possibly 30 by anchoring proteins. Aberrant protein serine/threonine kinase activity has been implicated or is suspected in a number of pathologies such as rheumatoid arthritis, psoriasis, septic shock, bone loss, many cancers and other proliferative diseases.

Accordingly, serine/threonine kinases and the signal transduction pathways which they are part of are important targets for drug design. The tyrosine kinases phosphorylate tyrosine residues. Tyrosine kinases play an equally important role in cell regulation. These kinases include several receptors for molecules such as growth

5 factors and hormones, including epidermal growth factor receptor, insulin receptor, platelet derived growth factor receptor and others. Studies have indicated that many tyrosine kinases are transmembrane proteins with their receptor domains located on the outside of the cell and their kinase domains on the inside. Much work is also under progress to identify modulators of tyrosine kinases as well.

10 A major signal transduction systems utilized by cells is the RhoA- signalling pathways. RhoA is a small GTP binding protein that can be activated by several extracellular stimuli such as growth factor, hormones, mechanic stress, osmotic change as well as high concentration of metabolite like glucose. RhoA activation involves GTP binding, conformation alteration, post-translational modification 15 (geranylgeranyllization and farnesylation) and activation of its intrinsic GTPase activity. Activated RhoA is capable of interacting with several effector proteins including Rho-Kinases (ROCK 1 and ROCK 2, referred to below as 'ROCK' or 'ROCKs') and transmit signals into cellular cytoplasm and nucleus.

ROCK1 and 2 constitute a family of kinases that can be activated by RhoA-  
20 GTP complex via physical association. Activated ROCKs phosphorylate a number of substrates and play important roles in pivotal cellular functions. The substrates for ROCKs include myosin binding subunit of myosin light chain phosphatase (MBS, also named MYPT1), adducin, moesin, myosin light chain (MLC), LIM kinase as well as transcription factor FHL. The phosphorylation of theses substrates modulate  
25 the biological activity of the proteins and thus provide a means to alter cell's response to external stimuli. One well documented example is the participation of ROCK in smooth muscle contraction. Upon stimulation by phenylephrine, smooth muscle from blood vessels contracts. Studies have shown that phenylephrine stimulates b-adrenergic receptors and leads to the activation of RhoA. Activated  
30 RhoA in turn stimulates kinase activity of ROCK1 and which in turn phosphorylates MBS. Such phosphorylation inhibits the enzyme activity of myosin light chain phosphatase and increases the phosphorylation of myosin light chain itself by a

calcium-dependent myosin light chain kinase (MLCK) and consequently increases the contractility of myosin-actin bundle, leading to smooth muscle contraction. This phenomena is also sometimes called calcium sensitization. In addition to smooth muscle contraction, ROCKs have also been shown to be involved in cellular

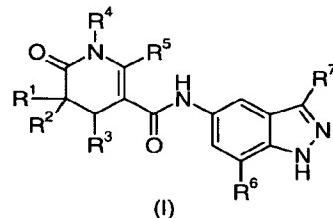
5 functions including apoptosis, cell migration, transcriptional activation, fibrosis, cytokinesis, inflammation and cell proliferation. Moreover, in neurons ROCK plays a critical role in the inhibition of axonal growth by myelin-associated inhibitory factors such as myelin-associated glycoprotein (MAG). ROCK-activity also mediates the collapse of growth cones in developing neurons. Both processes are

10 thought to be mediated by ROCK-induced phosphorylation of substrates such as LIM kinase and myosin light chain phosphatase, resulting in increased contractility of the neuronal actin-myosin system.

The present inventors have discovered novel indazole compounds, which are inhibitors of ROCK activity and show interesting selectivity over other protein kinases. Such derivatives are useful in the treatment of disorders associated with 15 inappropriate ROCK activity.

## DESCRIPTION OF THE INVENTION

The present invention includes compounds as described herein below:  
The present invention thus provides compounds of the general formula (I)



20

and physiologically acceptable salts wherein:

R<sup>1</sup> and R<sup>2</sup>, are, independently selected from the group consisting of hydrogen and optionally substituted C<sub>1</sub>-C<sub>6</sub> alkyl such that R<sup>1</sup> and R<sup>2</sup> can represent a ring;

R<sup>3</sup> is selected from the group consisting of optionally substituted C<sub>1</sub>-C<sub>6</sub> alkyl,

25 optionally substituted C<sub>1</sub>-C<sub>6</sub> alkenyl, optionally substituted C<sub>1</sub>-C<sub>6</sub> alkynyl and optionally substituted aryl or heteroaryl;

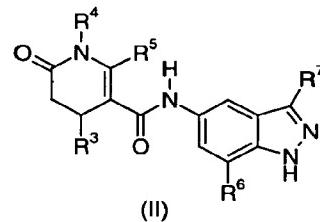
$R^4$  is selected from the group consisting of hydrogen and optionally substituted  $C_1$ - $C_6$  alkyl, such that  $R^4$  and  $R^5$  can represent a ring;

$R^5$  is selected from the group consisting of optionally substituted  $C_1$ - $C_3$  alkyl, such that  $R^4$  and  $R^5$  can represent a ring;

- 5     $R^6$  and  $R^7$ , are, independently selected from the group consisting of hydrogen, halogen, and optionally substituted  $C_1$ - $C_3$  alkyl.

It will be appreciated that any of the substituents  $R^1$  to  $R^7$  as defined in formula (I) above may contain at least one asymmetric center and it is to be understood that the invention includes all possible enantiomers arising therefrom  
10 and mixtures thereof including racemates.

The present invention thus provides compounds of the general formula (II)



and physiologically acceptable salts wherein:

$R^3$  is selected from the group consisting of optionally substituted  $C_{1-6}$  alkyl,

- 15    optionally substituted  $C_{1-6}$  alkenyl, optionally substituted  $C_{1-6}$  alkynyl and optionally substituted aryl or heteroaryl;

$R^4$  is selected from the group consisting of hydrogen or optionally substituted  $C_{1-2}$  alkyl;

$R^5$  is selected from the group consisting of optionally substituted  $C_{1-2}$  alkyl;

- 20     $R^6$  and  $R^7$ , are, independently selected from the group consisting of hydrogen and halogen.

It will be appreciated that any of the substituents  $R^1$  to  $R^7$  as defined in formula (I) above may contain at least one asymmetric center and it is to be  
understood that the invention includes all possible enantiomers arising therefrom

- 25    and mixtures thereof including racemates.

The term alkyl as a group or part of a group e.g. alkoxy, alkylthio, alkylamino, dialkylamino, optionally substituted alkyl e.g. aminoalkyl,

cycloalkylalkyl, aralkyl, heteroarylalkyl or heterocyclalkyl refers to a C<sub>1-6</sub> straight or branched chain alkyl group.

The term halogen includes fluorine, chlorine, bromine or iodine.

The term aryl as a group or part of a group e.g. aryloxy, aralkyl or arylamino refers

- 5 to an optionally substituted phenyl or fused bicyclic aryl group e.g. naphthyl. The terms aryl, optionally substituted phenyl, heteroaryl, C<sub>3-7</sub> cycloalkyl as a group or part of a group and 4-7 membered heterocyclyl as a group or part of a group includes such groups which are optionally substituted with 1 to 3 substituents which may be the same or different and selected from halogen, aryl, heteroaryl,
- 10 heterocyclalkyl, hydroxy, alkyl, alkoxy, trifluoroalkyl, amino, alkylamino, dialkylamino, arylamino, heteroaryl amino, heterocyclamino, acylamino, aminoalkyl, alkylaminoalkyl, dialkylaminoalkyl, acylaminoalkyl, arylaminoalkyl, heteroaryl aminoalkyl, cycloalkylaminoalkyl, heterocyclaminoalkyl, hydroxyalkyl, CONR<sup>9</sup>R<sup>10</sup>, CSNR<sup>9</sup>R<sup>10</sup>, CH<sub>2</sub>CONR<sup>9</sup>R<sup>10</sup>, carboxamido, alkoxy carbonyl,
- 15 aminoalkoxy, dialkylaminoalkoxy, acylaminoalkoxy, sulphonamido, aminosulphonyl, cyano, formyl, nitro, R<sup>11</sup>O or R<sup>11</sup>S(O)<sub>n</sub> wherein R<sup>11</sup> is a group selected from alkyl, aryl, heteroaryl or heterocyclalkoxy and n is zero, one or two, or each of the said groups can form part of a fused bicyclic ring system containing up to 10 ring members and which can be at least partially saturated.

- 20 The term heteroaryl as a group or part of a group e.g. heteroaryloxy refers to a 5, or 6 membered ring or a fused 5,6 or 6,6 bicyclic ring system.

When heteroaryl represents a 5 membered group it contains a heteroatom selected from O, N or S and may optionally contain a further 1 to 3 nitrogen atoms.

Examples of such groups include furanyl, thienyl, isoxazolyl, oxazolyl or

- 25 imidazolyl.

When heteroaryl represents a 6-membered group it contains from 1 to 3 nitrogen atoms. Examples of such groups include pyridyl, pyrimidinyl, or triazinyl.

The term 5,6 fused bicyclic heteroaryl group refers to a group in which the 5-membered ring contains an oxygen, sulphur or NH group and may optionally

- 30 contain a further 1 to 2 nitrogen atoms, and the 6 membered ring optionally contains from 1 to 3 nitrogen atoms. Examples of such groups include benzofuranyl, benzothienyl, benzimidazole, benzotriazole or indolyl.

The term 6,6-fused bicyclic heteroaryl group refers to a bicyclic heteroaryl group which contains at least one nitrogen atom in one of the rings and may contain up to 3 nitrogen atoms in each ring. Examples of such groups include quinolinyl, isoquinolinyl or naphthyridinyl also the term 6,6 fused bicyclic heteroaryl group

- 5 refers to a 6-membered heteroaryl group which is fused to a partially saturated carbocyclic group. Examples of such a group includes tetrahydroquinolinyl or tetrahydroisoquinolinyl.

The term heterocyclyl as a group or part of a group e.g. heterocyclalkyl or heterocyclalkylidene refers to a bridged heterocyclic group or a 4-7 membered

- 10 heterocyclyl group which is linked to the rest of the compound of formula (1) via a carbon or nitrogen atom in that group and which contains one or two hetero atoms selected from N, O or S(O)<sub>n</sub>, and when the heterocyclyl group contains a ring member NH or the heterocyclyl group is substituted by a primary or secondary  
15 amino group then the term also includes N-alkyl, N-optionally substituted phenyl, N-araalkyl, N-sulfonyl, or, N-acyl derivatives thereof. The term heterocyclic also includes bridged heterocyclic. Examples of such heterocyclic groups include optionally substituted pyrrolidine, piperidine, piperazine, homopiperazine, morpholine, thiomorpholine and (8-methyl-8-aza-bicyclo[3.2.1]oct-3-yl)-amine.

- 20 The term cycloalkyl as a group or part of a group e.g. cycloalkylalkyl or cycloalkylidene refers to a 3-7 membered carbocyclic group.

The term fused bicyclic ring system containing up to 11 ring members and which is at least partially saturated includes carbocyclic and heterocyclic 6,5, 6,6 and 6,7 bicyclic ring systems. Examples of such 6,5 and 6,6 carbocyclic ring systems include those wherein the bicyclic ring comprises a benzene ring fused to a

- 25 5-, 6- or -membered carbocyclic ring which is at least partially saturated e.g. tetrahydronaphthyl, indanyl or indenyl. Examples of such 6,5, 6,6 or 6,7 heterocyclic rings include those wherein one ring is benzene which is fused to a 5, 6 or 7 membered ring containing one or two hetero atoms selected from O, S or N e.g. indolinyl, isoindolinyl, 2,3-dihydro-1H-isoindol-5-yl, dihydrobenzofuranyl,  
30 dihydrobenzothienyl, 1,3-benzodioxolyl, benzopyrrolyl, 1,3-benozodithioly, 1,4-benzodioxanyl, chromanyl, chromenyl or 2,3,4,5-tetrahydro-1H-benzo[c]azepin-8-yl

The term acyl as a group or part of the acylamino group refers to an alkanoyl, aroyl, aralkanoyl, alkoxy carbonyl, aryloxycaronyl or aralkoxycarbonyl group.

The compounds of formula (I) form salts with inorganic and organic acids and the invention includes such salts formed with physiologically acceptable  
5 inorganic and organic acids.

A preferred example of R<sup>3</sup> includes, but is not limited to, optionally substituted aryl (e.g. 4-fluorophenyl, 4-trifluoromethylphenyl, 2-naphthyl).

Preferred examples of R<sup>4</sup> include, but are not limited to, hydrogen and methyl.

10 A preferred example of R<sup>5</sup> includes, but is not limited to, C1-C6 alkyl (e.g. methyl).

A preferred example of R<sup>6</sup> is H.

Preferred examples of R<sup>7</sup> includes, but are not limited to, hydrogen and halogen. (e.g. chloro).

15 As used herein, the term "effective amount" means that amount of a drug or pharmaceutical agent that will elicit the biological or medical response of a tissue, system, animal or human that is being sought, for instance, by a researcher or clinician. Furthermore, the term "therapeutically effective amount" means any amount which, as compared to a corresponding subject who has not received such  
20 amount, results in improved treatment, healing, prevention, or amelioration of a disease, disorder, or side effect, or a decrease in the rate of advancement of a disease or disorder. The term also includes within its scope amounts effective to enhance normal physiological function.

As used herein, the term "optionally" means that the subsequently described  
25 event(s) may or may not occur, and includes both event(s), which occur, and events that do not occur.

As used herein, the term "physiologically functional derivative" refers to any pharmaceutically acceptable derivative of a compound of the present invention, for example, an ester or an amide, which upon administration to a mammal is capable of  
30 providing (directly or indirectly) a compound of the present invention or an active metabolite thereof. Such derivatives are clear to those skilled in the art, without undue experimentation, and with reference to the teaching of Burger's Medicinal

Chemistry And Drug Discovery, 5<sup>th</sup> Edition, Vol 1: Principles and Practice, which is incorporated herein by reference to the extent that it teaches physiologically functional derivatives.

As used herein, the term "solvate" refers to a complex of variable stoichiometry formed by a solute (in this invention, a compound of formula (I) or a salt or physiologically functional derivative thereof) and a solvent. Such solvents for the purpose of the invention may not interfere with the biological activity of the solute. Examples of suitable solvents include, but are not limited to, water, methanol, ethanol and acetic acid. Preferably the solvent used is a pharmaceutically acceptable solvent. Examples of suitable pharmaceutically acceptable solvents include, without limitation, water, ethanol and acetic acid. Most preferably the solvent used is water.

As used herein, the term "substituted" refers to substitution with the named substituent or substituents, multiple degrees of substitution being allowed unless otherwise stated.

Certain of the compounds described herein may contain one or more chiral atoms, or may otherwise be capable of existing as two enantiomers. The compounds of this invention include mixtures of enantiomers as well as purified enantiomers or enantiomerically enriched mixtures. Also included within the scope of the invention are the individual isomers of the compounds represented by formula (I) above as well as any wholly or partially equilibrated mixtures thereof. The present invention also covers the individual isomers of the compounds represented by the formulas above as mixtures with isomers thereof in which one or more chiral centers are inverted. Also, it is understood that any tautomers and mixtures of tautomers of the compounds of formula (I) are included within the scope of the compounds of formula (I).

Typically, the salts of the present invention are pharmaceutically acceptable salts. Salts encompassed within the term "pharmaceutically acceptable salts" refer to non-toxic salts of the compounds of this invention. Salts of the compounds of the present invention may comprise acid addition salts derived from a nitrogen on a substituent in the compound of formula (I). Representative salts include the following salts: acetate, benzenesulfonate; benzoate, bicarbonate, bisulfate,

- bitartrate, borate, bromide, calcium edetate, camsylate, carbonate, chloride, clavulanate, citrate, dihydrochloride, edetate, edisylate, estolate, esylate, fumarate, gluceptate, gluconate, glutamate, glycolylarsanilate, hexylresorcinate, hydrabamine, hydrobromide, hydrochloride, hydroxynaphthoate, iodide, isethionate, lactate,
- 5 lactobionate, laurate, malate, maleate, mandelate, mesylate, methylbromide, methylnitrate, methylsulfate, monopotassium maleate, mucate, napsylate, nitrate, N-methylglucamine, oxalate, parnoate (embonate), palmitate, pantothenate, phosphate/diphosphate, polygalacturonate, potassium, salicylate, sodium, stearate, subacetate, succinate, tannate, tartrate, teoclolate, tosylate, triethiodide,
- 10 trimethylammonium and valerate. Other salts, which are not pharmaceutically acceptable, may be useful in the preparation of compounds of this invention and these form a further aspect of the invention.

While it is possible that, for use in therapy, therapeutically effective amounts of a compound of formula (I), as well as salts, solvates and physiological functional derivatives thereof, may be administered as the raw chemical, it is possible to present the active ingredient as a pharmaceutical composition. Accordingly, the invention further provides pharmaceutical compositions, which include therapeutically effective amounts of compounds of the formula (I) and salts, solvates and physiological functional derivatives thereof, and one or more pharmaceutically acceptable carriers, diluents, or excipients. The compounds of the formula (I) and salts, solvates and physiological functional derivatives thereof, are as described above. The carrier(s), diluent(s) or excipient(s) must be acceptable in the sense of being compatible with the other ingredients of the formulation and not deleterious to the recipient thereof. In accordance with another aspect of the invention there is also provided a process for the preparation of a pharmaceutical formulation including admixing a compound of the formula (I), or salts, solvates and physiological functional derivatives thereof, with one or more pharmaceutically acceptable carriers, diluents or excipients.

Pharmaceutical formulations may be presented in unit dose forms containing a predetermined amount of active ingredient per unit dose. Such a unit may contain, for example, 0.5mg to 1g, preferably 1mg to 700mg, more preferably 5mg to 100mg of a compound of the formula (I), depending on the condition being treated, the

route of administration and the age, weight and condition of the patient, or pharmaceutical formulations may be presented in unit dose forms containing a predetermined amount of active ingredient per unit dose. Preferred unit dosage formulations are those containing a daily dose or sub-dose, as herein above recited,  
5 or an appropriate fraction thereof, of an active ingredient. Furthermore, such pharmaceutical formulations may be prepared by any of the methods well known in the pharmacy art.

Pharmaceutical formulations may be adapted for administration by any appropriate route, for example by the oral (including buccal or sublingual), rectal,  
10 nasal, topical (including buccal, sublingual or transdermal), vaginal or parenteral (including subcutaneous, intramuscular, intravenous or intradermal) route. Such formulations may be prepared by any method known in the art of pharmacy, for example by bringing into association the active ingredient with the carrier(s) or excipient(s).

15 Pharmaceutical formulations adapted for oral administration may be presented as discrete units such as capsules or tablets; powders or granules; solutions or suspensions in aqueous or non-aqueous liquids; edible foams or whips; or oil-in-water liquid emulsions or water-in-oil liquid emulsions.

For instance, for oral administration in the form of a tablet or capsule, the  
20 active drug component can be combined with an oral, non-toxic pharmaceutically acceptable inert carrier such as ethanol, glycerol, water and the like. Powders are prepared by comminuting the compound to a suitable fine size and mixing with a similarly comminuted pharmaceutical carrier such as an edible carbohydrate, as, for example, starch or mannitol. Flavoring, preservative, dispersing and coloring agent  
25 can also be present.

Capsules are made by preparing a powder mixture, as described above, and filling formed gelatin sheaths. Glidants and lubricants such as colloidal silica, talc, magnesium stearate, calcium stearate or solid polyethylene glycol can be added to the powder mixture before the filling operation. A disintegrating or solubilizing  
30 agent such as agar-agar, calcium carbonate or sodium carbonate can also be added to improve the availability of the medicament when the capsule is ingested.

Moreover, when desired or necessary, suitable binders, lubricants, disintegrating agents and coloring agents can also be incorporated into the mixture. Suitable binders include starch, gelatin, natural sugars such as glucose or beta-lactose, corn sweeteners, natural and synthetic gums such as acacia, tragacanth or sodium alginate, carboxymethylcellulose, polyethylene glycol, waxes and the like.

5 Lubricants used in these dosage forms include sodium oleate, sodium stearate, magnesium stearate, sodium benzoate, sodium acetate, sodium chloride and the like. Disintegrators include, without limitation, starch, methyl cellulose, agar, bentonite, xanthan gum and the like. Tablets are formulated, for example, by preparing a powder mixture, granulating or slugging, adding a lubricant and disintegrant and pressing into tablets. A powder mixture is prepared by mixing the compound, suitably comminuted, with a diluent or base as described above, and optionally, with a binder such as carboxymethylcellulose, an alginate, gelatin, or polyvinyl pyrrolidone, a solution retardant such as paraffin, a resorption accelerator such as a

10 quaternary salt and/or an absorption agent such as bentonite, kaolin or dicalcium phosphate. The powder mixture can be granulated by wetting with a binder such as syrup, starch paste, acadia mucilage or solutions of cellulosic or polymeric materials and forcing through a screen. As an alternative to granulating, the powder mixture can be run through the tablet machine and the result is imperfectly formed slugs

15 broken into granules. The granules can be lubricated to prevent sticking to the tablet forming dies by means of the addition of stearic acid, a stearate salt, talc or mineral oil. The lubricated mixture is then compressed into tablets. The compounds of the present invention can also be combined with a free flowing inert carrier and compressed into tablets directly without going through the granulating or slugging steps.

20 A clear or opaque protective coating consisting of a sealing coat of shellac, a coating of sugar or polymeric material and a polish coating of wax can be provided. Dyestuffs can be added to these coatings to distinguish different unit dosages.

25

Oral fluids such as solution, syrups and elixirs can be prepared in dosage unit form so that a given quantity contains a predetermined amount of the compound.

30 Syrups can be prepared by dissolving the compound in a suitably flavored aqueous solution, while elixirs are prepared through the use of a non-toxic alcoholic vehicle. Suspensions can be formulated by dispersing the compound in a non-toxic vehicle.

Solubilizers and emulsifiers such as ethoxylated isostearyl alcohols and polyoxy ethylene sorbitol ethers, preservatives, flavor additive such as peppermint oil or natural sweeteners or saccharin or other artificial sweeteners, and the like can also be added.

5        Where appropriate, dosage unit formulations for oral administration can be microencapsulated. The formulation can also be prepared to prolong or sustain the release as for example by coating or embedding particulate material in polymers, wax or the like.

10      The compounds of formula (I), and salts, solvates and physiological functional derivatives thereof, can also be administered in the form of liposome delivery systems, such as small unilamellar vesicles, large unilamellar vesicles and multilamellar vesicles. Liposomes can be formed from a variety of phospholipids, such as cholesterol, stearylamine or phosphatidylcholines.

15      The compounds of formula (I) and salts, solvates and physiological functional derivatives thereof may also be delivered by the use of monoclonal antibodies as individual carriers to which the compound molecules are coupled. The compounds may also be coupled with soluble polymers as targetable drug carriers. Such polymers can include polyvinylpyrrolidone, pyran copolymer, polyhydroxypropylmethacrylamide -phenol, polyhydroxyethylaspartamidephenol, or 20 polyethyleneoxidepolylysine substituted with palmitoyl residues. Furthermore, the compounds may be coupled to a class of biodegradable polymers useful in achieving controlled release of a drug, for example, polylactic acid, polepsilon caprolactone, polyhydroxy butyric acid, polyorthoesters, polyacetals, polydihydropyrans, polycyanoacrylates and cross-linked or amphipathic block copolymers of hydrogels.

25      Pharmaceutical formulations adapted for transdermal administration may be presented as discrete patches intended to remain in intimate contact with the epidermis of the recipient for a prolonged period of time. For example, the active ingredient may be delivered from the patch by iontophoresis as generally described in *Pharmaceutical Research*, 3(6), 318 (1986).

30      Pharmaceutical formulations adapted for topical administration may be formulated as ointments, creams, suspensions, lotions, powders, solutions, pastes, gels, sprays, aerosols or oils.

- For treatments of the eye or other external tissues, for example mouth and skin, the formulations are preferably applied as a topical ointment or cream. When formulated in an ointment, the active ingredient may be employed with either a paraffinic or a water-miscible ointment base. Alternatively, the active ingredient
- 5 may be formulated in a cream with an oil-in-water cream base or a water-in-oil base.

Pharmaceutical formulations adapted for topical administrations to the eye include eye drops wherein the active ingredient is dissolved or suspended in a suitable carrier, especially an aqueous solvent.

- 10 Pharmaceutical formulations adapted for topical administration in the mouth include lozenges, pastilles and mouth washes.

Pharmaceutical formulations adapted for rectal administration may be presented as suppositories or as enemas.

- 15 Pharmaceutical formulations adapted for nasal administration wherein the carrier is a solid include a coarse powder having a particle size for example in the range 20 to 500 microns which is administered in the manner in which snuff is taken, i.e. by rapid inhalation through the nasal passage from a container of the powder held close up to the nose. Suitable formulations wherein the carrier is a liquid, for administration as a nasal spray or as nasal drops, include aqueous or oil
- 20 solutions of the active ingredient.

Pharmaceutical formulations adapted for administration by inhalation include fine particle dusts or mists, which may be generated by means of various types of metered, dose pressurised aerosols, nebulizers or insufflators.

- 25 Pharmaceutical formulations adapted for vaginal administration may be presented as pessaries, tampons, creams, gels, pastes, foams or spray formulations.

- Pharmaceutical formulations adapted for parenteral administration include aqueous and non-aqueous sterile injection solutions which may contain anti-oxidants, buffers, bacteriostats and solutes which render the formulation isotonic with the blood of the intended recipient; and aqueous and non-aqueous sterile suspensions which may include suspending agents and thickening agents. The formulations may be presented in unit-dose or multi-dose containers, for example sealed ampoules and vials, and may be stored in a freeze-dried (lyophilized)

condition requiring only the addition of the sterile liquid carrier, for example water for injections, immediately prior to use. Extemporaneous injection solutions and suspensions may be prepared from sterile powders, granules and tablets.

- It should be understood that in addition to the ingredients particularly
- 5 mentioned above, the formulations may include other agents conventional in the art having regard to the type of formulation in question, for example those suitable for oral administration may include flavouring agents.

A therapeutically effective amount of a compound of the present invention will depend upon a number of factors including, for example, the age and weight of

10 the human or other animal, the precise condition requiring treatment and its severity, the nature of the formulation, and the route of administration, and will ultimately be at the discretion of the attendant physician or veterinarian. However, an effective amount of a compound of formula (I) for the treatment of neoplastic growth, for example colon or breast carcinoma, will generally be in the range of 0.1 to 100

15 mg/kg body weight of recipient (mammal) per day and more usually in the range of 1 to 10 mg/kg body weight per day. Thus, for a 70kg adult mammal, the actual amount per day would usually be from 70 to 700 mg and this amount may be given in a single dose per day or more usually in a number (such as two, three, four, five or six) of sub-doses per day such that the total daily dose is the same. An effective

20 amount of a salt or solvate, or physiologically functional derivative thereof, may be determined as a proportion of the effective amount of the compound of formula (I) per se. It is envisaged that similar dosages would be appropriate for treatment of the other conditions referred to above.

The compounds of this invention may be made by a variety of methods,

25 including standard chemistry. Any previously defined variable will continue to have the previously defined meaning unless otherwise indicated. Illustrative general synthetic methods are set out below and then specific compounds of the invention are prepared in the Working Examples.

Compounds of general formula (I) may be prepared by methods known in

30 the art of organic synthesis as set forth in part by the following synthesis schemes. In all of the schemes described below, it is well understood that protecting groups for sensitive or reactive groups are employed where necessary in accordance with

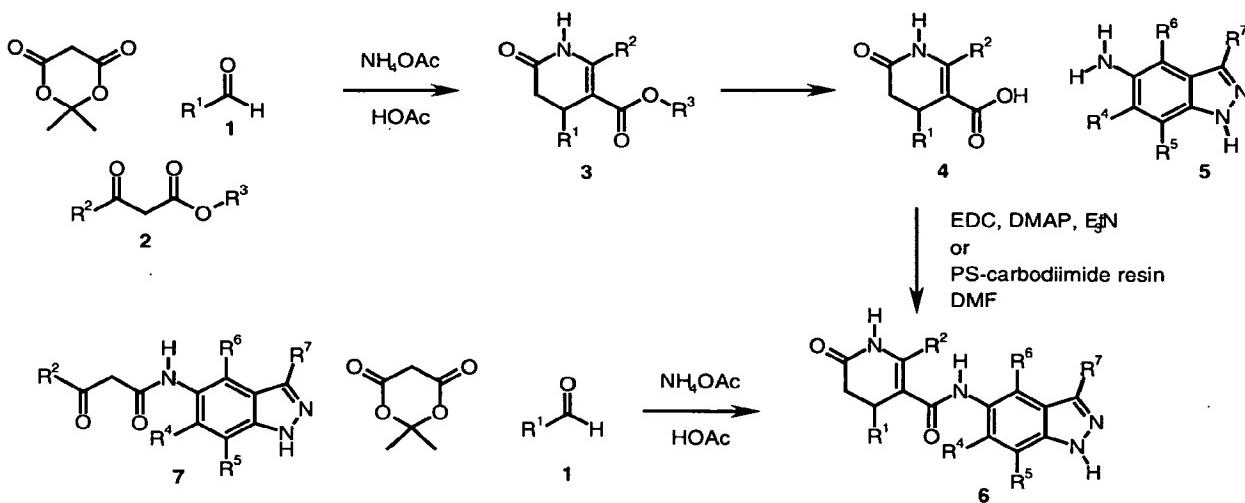
general principles of chemistry. Protecting groups are manipulated according to standard methods of organic synthesis (T. W. Green and P. G. M. Wuts (1991)

Protecting Groups in Organic Synthesis, John Wiley & Sons). These groups are removed at a convenient stage of the compound synthesis using methods that are

- 5 readily apparent to those skilled in the art. The selection of processes as well as the reaction conditions and order of their execution shall be consistent with the preparation of compounds of Formula (I).

Compounds with the general structure **6** can be prepared according to the procedure described in Scheme 1. Treatment of an appropriately substituted

- 10 aldehyde **1** with 2,2-dimethyl-1,3-dioxane-4,6-dione,  $\beta$ -ketoester **2**, and ammonium acetate provides substituted dihydropyridones **3**. Compounds of general structure **4** may be synthesized from compounds of general structure **3** by conversion of the ester to a carboxylic acid. This transformation is dependent upon the type of ester used, and can be accomplished with a variety of conditions for each type of ester,  
15 examples of which can be found in the literature, specifically "Protective Groups on Organic Synthesis" by Greene and Wuts. Acids of general structure **4** can be coupled with aminoindazoles of general formula **5** to afford substituted indazole amides **6**, employing methods known to those skilled in the art (e.g. EDC, DMAP). Alternatively, compounds of general structure **6** can be accessed directly by reaction  
20 of ketoamides **6** with meldrum's acid, an appropriately substituted aldehyde **1** and ammonium acetate.

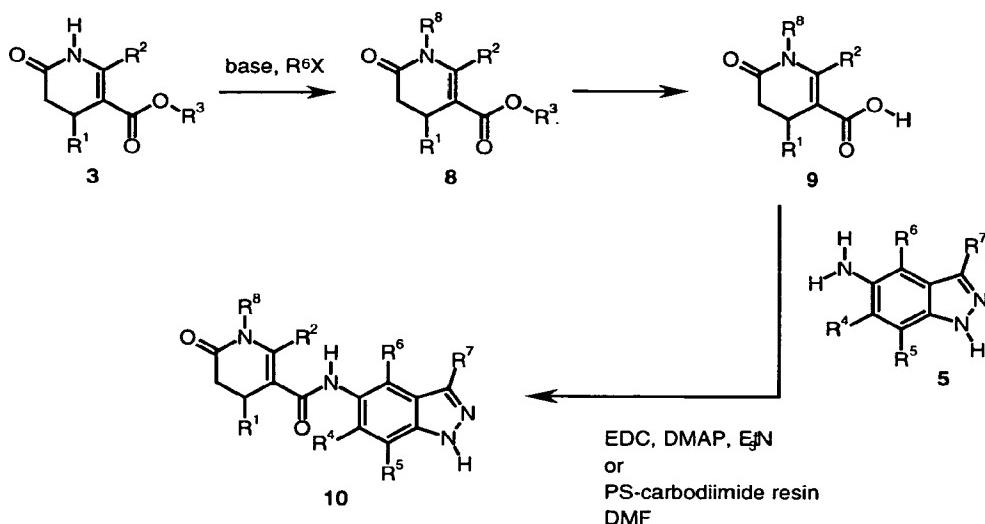
**Scheme 1.**

5

Compounds of the general structure **3** can be further transformed, Scheme 2.

Treatment with base followed by reaction with an appropriate electrophile provides N-substituted pyridones of general structure **8**. Compounds of general structure **4** may be synthesized from compounds of general structure **3** by conversion of the ester to a carboxylic acid. This transformation is dependent upon the type of ester used, and can be accomplished with a variety of conditions for each type of ester, examples of which can be found in the literature, specifically "Protective Groups on Organic Synthesis" by Greene and Wuts. Acids of general structure **4** can be coupled with aminoindazoles of general formula **5** to afford substituted indazole amides **6**, employing methods known to those skilled in the art (e.g. EDC, DMAP).

10  
15

**Scheme 2.**

Examples of suitable compounds according to the invention include those

- 5 listed below and found in Examples 1-13. These are intended to be illustrative only and not limiting in any way.

*N*-1*H*-Indazol-5-yl-2-methyl-4-(2-naphthalenyl)-6-oxo-1,4,5,6-tetrahydro-3-pyridinecarboxamide;

10 4-(4-Fluorophenyl)-*N*-1*H*-indazol-5-yl-2-methyl-6-oxo-1,4,5,6-tetrahydro-3-pyridinecarboxamide;

4-(4-Chloro-2-fluorophenyl)-*N*-1*H*-indazol-5-yl-2-methyl-6-oxo-1,4,5,6-tetrahydro-3-pyridinecarboxamide;

4-(4-Chlorophenyl)-*N*-1*H*-indazol-5-yl-2-methyl-6-oxo-1,4,5,6-tetrahydro-3-pyridinecarboxamide;

15 *N*-1*H*-Indazol-5-yl-2-methyl-6-oxo-4-[4-(trifluoromethyl)phenyl]-1,4,5,6-tetrahydro-3-pyridinecarboxamide;

4-(4-Biphenyl)-*N*-1*H*-indazol-5-yl-2-methyl-6-oxo-1,4,5,6-tetrahydro-3-pyridinecarboxamide;

4-(3,4-Dichlorophenyl)-*N*-1*H*-indazol-5-yl-2-methyl-6-oxo-1,4,5,6-tetrahydro-3-

20 pyridinecarboxamide;

4-(4-Fluorophenyl)-*N*-1*H*-indazol-5-yl-1,2-dimethyl-6-oxo-1,4,5,6-tetrahydro-3-pyridinecarboxamide;

4-[2-Fluoro-4-(trifluoromethyl)phenyl]-*N*-1*H*-indazol-5-yl-2-methyl-6-oxo-1,4,5,6-tetrahydro-3-pyridinecarboxamide;

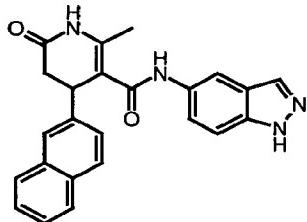
*N*-1*H*-Indazol-5-yl-2-methyl-6-oxo-4-(3-quinolinyl)-1,4,5,6-tetrahydro-3-pyridinecarboxamide;

- 5    *N*-(3-Chloro-1*H*-indazol-5-yl)-2-methyl-6-oxo-4-[4-(trifluoromethyl)phenyl]-1,4,5,6-tetrahydro-3-pyridinecarboxamide;  
 4-(4-Chloro-2-fluorophenyl)-*N*-(3-chloro-1*H*-indazol-5-yl)-2-methyl-6-oxo-1,4,5,6-tetrahydro-3-pyridinecarboxamide;  
*N*-(3-Chloro-1*H*-indazol-5-yl)-2-methyl-4-(2-naphthalenyl)-6-oxo-1,4,5,6-tetrahydro-3-pyridinecarboxamide; and  
 10    *N*-(3-Chloro-1*H*-indazol-5-yl)-4-(4-fluorophenyl)-2-methyl-6-oxo-1,4,5,6-tetrahydro-3-pyridinecarboxamide.

### Examples

- 15    The following examples are intended to be illustrative only and not limiting in any way:

**Example 1. *N*-1*H*-Indazol-5-yl-2-methyl-4-(2-naphthalenyl)-6-oxo-1,4,5,6-tetrahydro-3-pyridinecarboxamide.**



20

**Step 1. Methyl 2-methyl-4-(2-naphthalenyl)-6-oxo-1,4,5,6-tetrahydro-3-pyridinecarboxylate.**

- 25    2-naphthaldehyde (30.0 g, 192 mmol, 1.00 equiv), 2,2-dimethyl-1,3-dioxane-4,6-dione (27.6 g, 192 mmol, 1.00 equiv), methyl acetoacetate (20.7 mL, 192 mmol, 1.00 equiv), and ammonium acetate (15.6g, 202 mmol, 1.05 equiv) were dissolved in acetic acid (0.20 L) and heated to reflux for 4 hours, then cooled to room temperature. Addition of water (1L) to the cooled reaction mixture induced

formation of a white precipitate. The solid was filtered off and triturated with diethyl ether to provide the 20.5g (36%) of the product as an off-white solid. MS (ES+) m/e 296 [M+H]<sup>+</sup>.

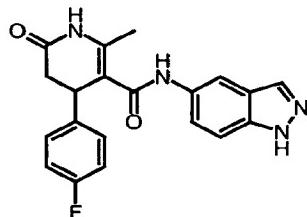
5   **Step 2. 2-Methyl-4-(2-naphthalenyl)-6-oxo-1,4,5,6-tetrahydro-3-pyridinecarboxylic acid**

The product from Step 1 (2.32 g, 7.9 mmol, 1.00 equiv) was dissolved in MeOH (32 mL). THF (10 mL) was added, followed by 2.5N NaOH (10 mL). The reaction mixture was heated to 60 °C for 6 hours, then stirred at room temperature 10 for an additional 16 hours. The reaction mixture was diluted with water and extracted with ethyl acetate. The organic phase was washed again with 1N NaOH. The aqueous phases were combined and acidified to pH~1 with 5N HCl. The aqueous solution was extracted twice with ethyl acetate. The combined organic extracts were washed with satd. NaCl, dried over Na<sub>2</sub>SO<sub>4</sub>, and filtered. The filtrate 15 was concentrated en vacuo and azeotroped several times with hexane. The resulting solid was triturated with 50% CH<sub>2</sub>Cl<sub>2</sub>/hexanes to afford 570 mg of the acid as an off-white solid. MS (ES+) m/e 282 [M+H]<sup>+</sup>.

20   **Step 3. N-1*H*-Indazol-5-yl-2-methyl-4-(2-naphthalenyl)-6-oxo-1,4,5,6-tetrahydro-3-pyridinecarboxamide.**

The product of step 2 (450 mg, 1.60 mmol, 1 equiv) was combined with 1*H*-indazol-5-amine (212 mg, 1.60 mmol, 1 equiv) and polystyrene-bound carbodiimide (1.78 g, 1.1 mmol/g, 1.97 mmol, 1.2 equiv) in 6 mL DMF. The reaction mixture was stirred for 22 hours at room temperature. The resin was removed by filtration 25 and washed alternately with CH<sub>2</sub>Cl<sub>2</sub> and MeOH, ending with CH<sub>2</sub>Cl<sub>2</sub>. The volatile solvents were removed en vacuo and water was added, providing a solid residue. The residue was triturated with ethyl acetate, affording 140 mg of the title compound as a white crystalline solid. MS (ES+) m/e 397 [M+H]<sup>+</sup>

**Example 2. 4-(4-Fluorophenyl)-N-1*H*-indazol-5-yl-2-methyl-6-oxo-1,4,5,6-tetrahydro-3-pyridinecarboxamide.**



5

**Step 1. Methyl 4-(4-fluorophenyl)-2-methyl-6-oxo-1,4,5,6-tetrahydro-3-pyridinecarboxylate.**

4-Fluorobenzaldehyde (0.997 mL, 9.30 mmol, 1.00 equiv), 2,2-dimethyl-1,3-dioxane-4,6-dione (1.34 g, 9.30 mmol, 1.00 equiv), methyl acetoacetate (1.00 mL, 9.30 mmol, 1.00 equiv), and ammonium acetate (0.752 g, 9.77 mmol, 1.05 equiv) were dissolved in acetic acid (10 mL) and heated to reflux for 3.5 hours. The reaction mixture was diluted with EtOAc and water, and neutralized with 2N NaOH. The phases were separated, and the organic phase was washed with satd. NaHCO<sub>3</sub>, then NaCl. The organic phase was dried over Na<sub>2</sub>SO<sub>4</sub>, filtered and concentrated to a yellow residue. Recrystallization (CH<sub>2</sub>Cl<sub>2</sub>/hexanes) provided 480 mg (20%) of the product as a pale yellow solid. MS (ES+) m/e 264 [M+H]<sup>+</sup>.

**Step 2. 4-(4-Fluorophenyl)-2-methyl-6-oxo-1,4,5,6-tetrahydro-3-pyridinecarboxylic acid.**

The product from Step 1 (0.200 g, 0.76 mmol, 1.00 equiv) was dissolved in MeOH (3 mL). Following addition of 2.5N NaOH (1 mL), the reaction mixture was heated to 60 °C for 6 hours, then stirred at room temperature for an additional 16 hours. The reaction mixture was diluted with water and extracted with ethyl acetate. The organic phase was washed again with 1N NaOH. The aqueous phases were combined and acidified to pH~1 with 5N HCl, and extracted twice with ethyl acetate. The combined organic extracts were washed with satd. NaCl, dried over Na<sub>2</sub>SO<sub>4</sub>, and filtered. The filtrate was concentrated en vacuo and azeotroped several

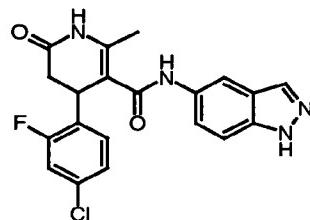
times with hexanes to afford 128 mg (67%) of the acid as an off-white solid. MS (ES+) m/e 250 [M+H]<sup>+</sup>.

**Step 3. 4-(4-Fluorophenyl)-N-1*H*-indazol-5-yl-2-methyl-6-oxo-1,4,5,6-tetrahydro-3-pyridinecarboxamide.**

The product of step 2 (128 mg, 0.514 mmol, 1.00 equiv), 1*H*-indazol-5-amine (82.0 mg, 0.617 mmol, 1.20 equiv), EDC (118 mg, 0.617 mmol, 1.20 equiv), and DMAP (10 mg, catalytic) were suspended in 2.0 mL DMF. Et<sub>3</sub>N (0.086 mL, 1.35 mmol, 2.4 equiv) was added and the solution was heated to 80 °C for 2 hours.

- 10 The reaction mixture was cooled to room temperature and diluted with EtOAc and 1N HCl. The phases were separated, and the organic phase was washed twice with 1N HCl, once with satd. NaHCO<sub>3</sub>, and once with satd. NaCl. The organic phase was dried over Na<sub>2</sub>SO<sub>4</sub>, filtered, and concentrated en vacuo. The residue was purified by flash chromatography (100% EtOAc) to provide 25.0 mg (13%) of the title  
15 compound as a white solid. MS (ES+) m/e 365 [M+H]<sup>+</sup>

**Example 3. 4-(4-Chloro-2-fluorophenyl)-N-1*H*-indazol-5-yl-2-methyl-6-oxo-1,4,5,6-tetrahydro-3-pyridinecarboxamide**



20

**Step 1. Methyl 4-(4-chloro-2-fluorophenyl)-2-methyl-6-oxo-1,4,5,6-tetrahydro-3-pyridinecarboxylate.**

4-Chloro-2-fluorobenzaldehyde (1.00 g, 6.30 mmol, 1.00 equiv), 2,2-dimethyl-1,3-dioxane-4,6-dione (907 mg, 6.30 mmol, 1.00 equiv), methyl acetoacetate (0.679 mL, 6.30 mmol, 1.00 equiv), and ammonium acetate (0.512 g, 6.60 mmol, 1.05 equiv) were dissolved in acetic acid (7.0 mL) and heated to reflux for 2 hours. The reaction mixture was cooled to room temperature, neutralized with solid K<sub>2</sub>CO<sub>3</sub>, and diluted with EtOAc and water. The phases were separated, and

the organic phase was washed with satd. NaCl. The organic phase was dried over Na<sub>2</sub>SO<sub>4</sub>, filtered and concentrated to a yellow residue. Recrystallization (CH<sub>2</sub>Cl<sub>2</sub>/hexanes) provided 503 mg (27%) of the product as a pale yellow solid. MS (ES+) m/e 298 [M+H]<sup>+</sup>.

5

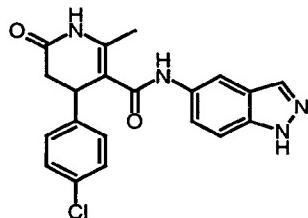
**Step 2. 4-(4-Chloro-2-fluorophenyl)-2-methyl-6-oxo-1,4,5,6-tetrahydro-3-pyridinecarboxylic acid.**

The product from Step 1 (503 mg, 1.70 mmol, 1.00 equiv) was dissolved in 3/1 MeOH:THF (8 mL total). Following addition of 2.5N NaOH (2 mL), the 10 reaction mixture was heated to 60 °C for 6 hours, then stirred at room temperature for an additional 16 hours. The reaction mixture was diluted with water and extracted with ethyl acetate. The organic phase was washed again with 1N NaOH. The aqueous phases were combined and acidified to pH~1 with 5N HCl, and extracted twice with ethyl acetate. The combined organic extracts were washed with 15 satd. NaCl, dried over Na<sub>2</sub>SO<sub>4</sub>, and filtered. The filtrate was concentrated en vacuo and azeotroped several times with hexanes to afford 252 mg (52%) of the acid as an off-white solid. MS (ES+) m/e 284 [M+H]<sup>+</sup>.

**Step 3. 4-(4-Chloro-2-fluorophenyl)-N-1*H*-indazol-5-yl-2-methyl-6-oxo-1,4,5,6-tetrahydro-3-pyridinecarboxamide.**

The product of step 2 (252 mg, 0.890 mmol, 1.00 equiv), 1*H*-indazol-5-amine (142 mg, 1.07 mmol, 1.20 equiv), EDC (229 mg, 1.07 mmol, 1.20 equiv), and DMAP (10 mg, catalytic) were suspended in 4.0 mL DMF. Et<sub>3</sub>N (0.298 mL, 2.14 mmol, 1.00 equiv) was added and the solution was heated to 80 °C for 2 hours. The 25 reaction mixture was cooled to room temperature and diluted with EtOAc and 1N HCl. The phases were separated, and the organic phase was washed twice with 1N HCl, once with satd. NaHCO<sub>3</sub>, and once with satd. NaCl. The organic phase was dried over Na<sub>2</sub>SO<sub>4</sub>, filtered, and concentrated en vacuo. The residue was purified by flash chromatography (100% EtOAc) to provide 0.100 g (28%) of the title 30 compound as a white solid. MS (ES+) m/e 399 [M+H]<sup>+</sup>

**Example 4. 4-(4-Chlorophenyl)-N-1*H*-indazol-5-yl-2-methyl-6-oxo-1,4,5,6-tetrahydro-3-pyridinecarboxamide**



5   **Step 1. Methyl 4-(4-chlorophenyl)-2-methyl-6-oxo-1,4,5,6-tetrahydro-3-pyridinecarboxylate.**

4-Chlorobenzaldehyde (1.30 g, 9.30 mmol, 1.00 equiv), 2,2-dimethyl-1,3-dioxane-4,6-dione (1.34 g, 9.30 mmol, 1.00 equiv), methyl acetoacetate (1.00 mL, 9.30 mmol, 1.00 equiv), and ammonium acetate (0.752 g, 9.77 mmol, 1.05 equiv)

10   were dissolved in acetic acid (10 mL) and heated to reflux for 2 hours. The reaction mixture was cooled to room temperature, neutralized with solid K<sub>2</sub>CO<sub>3</sub>, and diluted with EtOAc and water. The phases were separated, and the organic phase was washed with satd. NaCl. The organic phase was dried over Na<sub>2</sub>SO<sub>4</sub>, filtered and concentrated en vacuo to a yellow residue. Recrystallization (CH<sub>2</sub>Cl<sub>2</sub>/hexanes) 15   provided 735 mg (28%) of the product as a pale yellow solid. MS (ES+) m/e 280 [M+H]<sup>+</sup>.

**Step 2. 4-(4-Chlorophenyl)-2-methyl-6-oxo-1,4,5,6-tetrahydro-3-pyridinecarboxylic acid.**

20   The product from Step 1 (735 mg, 2.63 mmol, 1.00 equiv) was dissolved in 3/1 MeOH:THF (12 mL total). Following addition of 2.5N NaOH (3 mL), the reaction mixture was heated to 60 °C for 6 hours, then stirred at room temperature for an additional 16 hours. The reaction mixture was diluted with water and extracted with ethyl acetate. The organic phase was washed again with 1N NaOH. 25   The aqueous phases were combined and acidified to pH~1 with 5N HCl, and extracted twice with ethyl acetate. The combined organic extracts were washed with satd. NaCl, dried over Na<sub>2</sub>SO<sub>4</sub>, and filtered. The filtrate was concentrated en vacuo

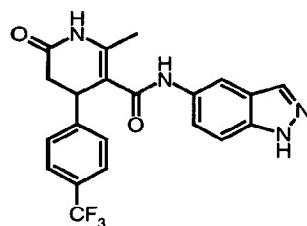
and azeotroped several times with hexanes to afford 494 mg (71%) of the acid as an off-white solid. MS (ES+) m/e 266 [M+H]<sup>+</sup>.

**Step 3. 4-(4-chlorophenyl)-N-1*H*-indazol-5-yl-2-methyl-6-oxo-1,4,5,6-**

**5 tetrahydro-3-pyridinecarboxamide.**

The product of step 2 (494 mg, 1.86 mmol, 1.00 equiv), 1*H*-indazol-5-amine (296 mg, 2.23 mmol, 1.20 equiv), EDC (426 mg, 2.23 mmol, 1.20 equiv), and DMAP (10 mg, catalytic) were suspended in 8.0 mL DMF. Et<sub>3</sub>N (0.621 mL, 4.46 mmol, 1.00 equiv) was added and the solution was heated to 80 °C for 2 hours. The 10 reaction mixture was cooled to room temperature and diluted with EtOAc and 1N HCl. The phases were separated, and the organic phase was washed twice with 1N HCl, once with satd. NaHCO<sub>3</sub>, and once with satd. NaCl. The organic phase was dried over Na<sub>2</sub>SO<sub>4</sub>, filtered, and concentrated en vacuo. The residue was purified by flash chromatography (100% EtOAc) to provide 0.120 g (17%) of the title 15 compound as a white solid. MS (ES+) m/e 399 [M+H]<sup>+</sup>

**Example 5. N-1*H*-indazol-5-yl-2-methyl-6-oxo-4-[4-(trifluoromethyl)phenyl]-1,4,5,6-tetrahydro-3-pyridinecarboxamide.**



20

**Step 1. Methyl 4-[4-(trifluoromethyl)phenyl]-2-methyl-6-oxo-1,4,5,6-tetrahydro-3-pyridinecarboxylate.**

4-Trifluoromethylbenzaldehyde (25.0 mL, 147 mmol, 1.00 equiv), 2,2-dimethyl-1,3-dioxane-4,6-dione (21.2 g, 147 mmol, 1.00 equiv), methyl acetoacetate (15.8 mL, 147 mmol, 1.00 equiv), and ammonium acetate (11.8 g, 154 mmol, 1.05 equiv) were dissolved in acetic acid (150 mL) and heated to reflux for 2 hours. Addition of water to the stirred reaction mixture induced precipitation of a solid residue. The solid was recovered by filtration and triturated with 50%

CH<sub>2</sub>Cl<sub>2</sub>/hexane to afford 8.50g (19%) of the product as a white solid. MS (ES+) m/e 300 [M+H]<sup>+</sup>.

**Step 2. 4-[4-(trifluoromethyl)phenyl]-2-methyl-6-oxo-1,4,5,6-tetrahydro-3-**

**5 pyridinecarboxylic acid.**

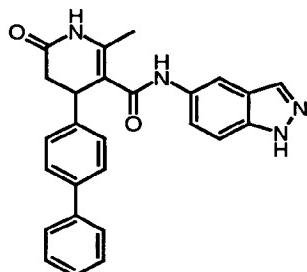
The product from Step 1 (1.00 g, 3.34 mmol, 1.00 equiv) was dissolved in MeOH (11 mL). Following addition of 2.5N NaOH (4 mL), the reaction mixture was heated to reflux for 8 hours. The reaction mixture was diluted with water and extracted with ethyl acetate. The organic phase was washed again with 1N NaOH.

10 The aqueous phases were combined and acidified to pH~1 with 5N HCl, and extracted twice with ethyl acetate. The combined organic extracts were washed with satd. NaCl, dried over Na<sub>2</sub>SO<sub>4</sub>, and filtered. The filtrate was concentrated en vacuo and azeotroped several times with hexanes. The resulting white solid was triturated with Et<sub>2</sub>O to afford 146 mg (15%) of the acid as an off-white solid. MS (ES+) m/e  
15 266 [M+H]<sup>+</sup>.

**Step 3. N-1*H*-Indazol-5-yl-2-methyl-6-oxo-4-[4-(trifluoromethyl)phenyl]-1,4,5,6-tetrahydro-3-pyridinecarboxamide.**

The product of step 2 (146 mg, 0.512 mmol, 1.00 equiv), 1*H*-indazol-5-amine (68 mg, 0.512 mmol, 1.00 equiv) and EDC (118 mg, 0.615 mmol, 1.20 equiv) were suspended in 8.0 mL DMF. Et<sub>3</sub>N (0.086 mL, 0.615 mmol, 1.20 equiv) was added and the solution was stirred overnight at room temperature. The reaction mixture was diluted with EtOAc and 1N HCl. The phases were separated, and the organic phase was washed twice with 1N HCl, once with satd. NaHCO<sub>3</sub>, and once with satd. NaCl. The organic phase was dried over Na<sub>2</sub>SO<sub>4</sub>, filtered, and concentrated en vacuo. The residue was purified by flash chromatography (100% EtOAc) to provide 0.070 g (33%) of the title compound as a white solid. MS (ES+) m/e 415 [M+H]<sup>+</sup>

**Example 6. 4-(4-Biphenylyl)-N-1*H*-indazol-5-yl-2-methyl-6-oxo-1,4,5,6-tetrahydro-3-pyridinecarboxamide.**



**Step 1. N-1*H*-Indazol-5-yl-3-oxobutanamide.**

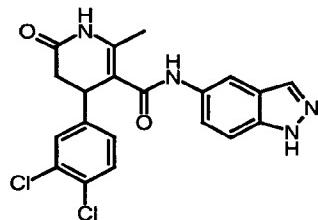
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In a round-bottomed flask 1*H*-indazol-5-amine (500 mg, 3.75 mmol, 1 equiv) was suspended in acetonitrile (1 mL). In a separate flask, diketene (stabilized w/copper sulfate, 0.289 mL, 3.75 mmol, 1 equiv) was dissolved in acetonitrile. The diketene solution was added to the amine suspension in four portions. The reaction was sealed and heated to 50 °C for 14 h. The mixture was diluted with diethyl ether (approx. 2 mL) and the solid product was collected by filtration and washed several times with diethyl ether. The ketoamide was isolated as a pale brown powder (761 mg, 94%). MS m/e 218 [M+H]<sup>+</sup>.

15    **Step 2. 4-(4-Biphenylyl)-N-1*H*-indazol-5-yl-2-methyl-6-oxo-1,4,5,6-tetrahydro-3-pyridinecarboxamide.**

The product of Step 1 (0.500 g, 2.30 mmol, 1.00 equiv), 4-biphenylcarbaldehyde (420 mg, 2.30 mmol, 1.00 equiv), 2,2-dimethyl-1,3-dioxane-4,6-dione (331 mg, 2.3 mmol, 1.00 equiv), and ammonium acetate (186 mg, 2.42 mmol, 1.05 equiv) were dissolved in acetic acid (2.3 mL) and heated to reflux for 2 hours. Addtition of water to the stirred reaction mixture induced precipitation of a solid residue. The solid was recovered by filtration and the residue was partitioned between EtOAc and satd. NaHCO<sub>3</sub>. The phases were separated and the organic layer was washed with satd. NaCl, dried over Na<sub>2</sub>SO<sub>4</sub>, filtered and concentrated en vacuo. The residue was purified by reverse phase HPLC (Xterra Prep 30x100, 25 mL/min, 30-70% 5mM aq. NH<sub>4</sub>HCO<sub>3</sub>/CH<sub>3</sub>CN over 10 minutes) to provide 5 mg of a white solid. MS (ES+) m/e 423 [M+H]<sup>+</sup>.

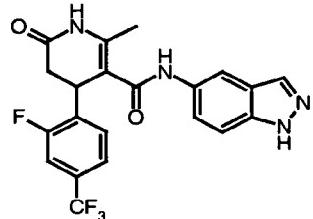
**Example 7. 4-(3,4-Dichlorophenyl)-N-1*H*-indazol-5-yl-2-methyl-6-oxo-1,4,5,6-tetrahydro-3-pyridinecarboxamide.**



The product of Example 6, Step 1 (0.100 g, 0.460 mmol, 1.00 equiv), 3,4-dichlorobenzaldehyde (80.5 mg, 0.460 mmol, 1.00 equiv), 2,2-dimethyl-1,3-dioxane-4,6-dione (66.0 mg, 0.460 mmol, 1.00 equiv), and ammonium acetate (37.0 mg, 0.484 mmol, 1.05 equiv) were dissolved in acetic acid (0.5 mL) and heated to reflux for 2 hours. The reaction mixture was partitioned between EtOAc and water. The phases were separated and the organic phase was washed with satd. NaHCO<sub>3</sub>, satd. NaCl, dried over Na<sub>2</sub>SO<sub>4</sub>, filtered and concentrated en vacuo. Preliminary purification by flash chromatography (100% EtOAc) was followed by reverse phase HPLC purification (Xterra Prep 30x100, 25 mL/min, 10-90% 5mM aq. NH<sub>4</sub>HCO<sub>3</sub>/CH<sub>3</sub>CN over 10 minutes) to provide 40 mg of a white solid. MS (ES+) m/e 416 [M+H]<sup>+</sup>.

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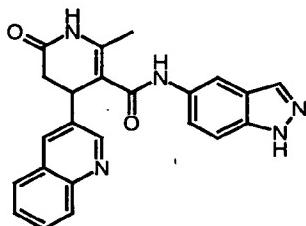
**Example 8. 4-[2-Fluoro-4-(trifluoromethyl)phenyl]-N-1*H*-indazol-5-yl-2-methyl-6-oxo-1,4,5,6-tetrahydro-3-pyridinecarboxamide.**



The product of Example 6, Step 1 (0.206 g, 0.949 mmol, 1.00 equiv), 2-fluoro-4-trifluoromethylbenzaldehyde (0.130 ml, 0.949 mmol, 1.00 equiv), 2,2-dimethyl-1,3-dioxane-4,6-dione (137 mg, 0.949 mmol, 1.00 equiv), and ammonium acetate (78.0 mg, 0.997 mmol, 1.05 equiv) were dissolved in acetic acid (1.0 mL). The reaction mixture was heated to 80° for 1.5 hours, then 120°C for 2 hours, and cooled to room temperature overnight. Addition of water to the reaction mixture induced formation of a precipitate. The precipitate was collected by filtration and

washed with diethyl ether affording 133 mg (32%) of the title compound as a pale grey solid. MS (ES+) m/e 433 [M+H]<sup>+</sup>.

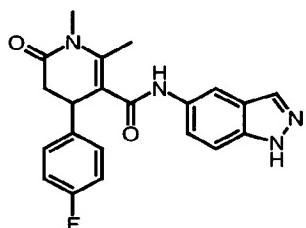
**Example 9. *N-1H-Indazol-5-yl-2-methyl-6-oxo-4-(3-quinolinyl)-1,4,5,6-tetrahydro-3-pyridinecarboxamide.***



The product of Example 6, Step 1 (0.217 g, 1.38 mmol, 1.00 equiv), 3-quinolinecarboxaldehyde (0.300 g, 1.38 mmol, 1.00 equiv), 2,2-dimethyl-1,3-dioxane-4,6-dione (199 mg, 1.38 mmol, 1.00 equiv), and ammonium acetate (112 mg, 1.38 mmol, 1.05 equiv) were dissolved in acetic acid (1.4 mL). The reaction mixture was heated to 80° for 1.5 hours, then 120°C for 2 hours, and cooled to room temperature overnight. The reaction mixture was diluted with EtOAc and water, then neutralized with solid NaHCO<sub>3</sub>. The phases were separated and the organic phase was dried over Na<sub>2</sub>SO<sub>4</sub>, filtered and concentrated en vacuo. Trituration of the resulting solid with EtOAc afforded 39 mg (7%) of the title compound as a pale grey solid. MS (ES+) m/e 398 [M+H]<sup>+</sup>.

**Example 10. 4-(4-Fluorophenyl)-*N-1H-indazol-5-yl-1,2-dimethyl-6-oxo-1,4,5,6-tetrahydro-3-pyridinecarboxamide.***

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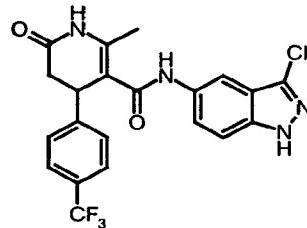
**Step 1. Methyl 4-(4-fluorophenyl)-1,2-dimethyl-6-oxo-1,4,5,6-tetrahydro-3-pyridinecarboxylate.**

The product from Example 2, Step 1 (1.5 g, 5.70 mmol, 1 equiv) and  
5 iodomethane (809 mg, 5.70 mmol, 1 equiv) were dissolved in DMF (20 mL) and  
cooled to 0°C. To this was added portionwise sodium hydride 60% in mineral oil  
(228 mg, 5.70 mmol, 1 equiv) and warmed to room temperature over 1 h. The  
reaction was quenched with water, and extracted with EtOAc. The organic phase  
was concentrated in vacuo. The product was obtained as a light yellow oil (1.58 g,  
10 quant.). MS (ES+) m/e 278 [M+H]<sup>+</sup>.

**Step 2. 4-(4-Fluorophenyl)-N-1*H*-indazol-5-yl-1,2-dimethyl-6-oxo-1,4,5,6-tetrahydro-3-pyridinecarboxamide.**

The product of Step 1 (1.58 g, 5.70 mmol, 1 equiv) was dissolved in  
15 methanol (24 mL) and was added 2.5 N NaOH (8 mL) and heated to 60°C for 3 h.  
Upon cooling, the resulting mixture was partitioned between EtOAc and water. The aqueous layer was acidified to pH 1 and extracted with EtOAc. The phases were separated and the organic phase was concentrated in vacuo. The resulting residue (1.50 g, 5.70 mmol, 1.1 equiv) was dissolved in DMF (30 mL). 1*H*-indazol-5-amine (700 mg, 5.18 mmol, 1.0 equiv) and PS-carbodiimide resin (8.27 g, 7.77 mmol, 1.5 equiv) were added and the mixture stirred overnight at room temperature.  
20 The resin was removed by filtration and washed alternately with methanol and CH<sub>2</sub>Cl<sub>2</sub>, followed by diethyl ether. The filtrate was concentratated en vacuo to remove the volatile solvents. The residue was dissolved in EtOAc, washed twice with water and once with satd. NaHCO<sub>3</sub>. The organic phase was concentrated in vacuo and the residue was purified by reverse phase HPLC (Xterra Prep 19x50, 25 mL/min, 10-90% 5mM aq. NH<sub>4</sub>HCO<sub>3</sub>/CH<sub>3</sub>CN over 9 minutes) to provide 8 mg (0.4%) of a white crystalline solid. MS (ES+) m/e 379 [M+H]<sup>+</sup>.

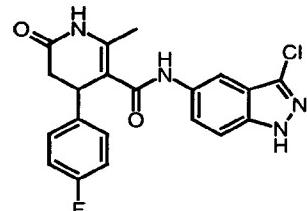
**Example 11. *N*-(3-Chloro-1*H*-indazol-5-yl)-2-methyl-6-oxo-4-[4-(trifluoromethyl)phenyl]-1,4,5,6-tetrahydro-3-pyridinecarboxamide.**



5        The product of Example 5, Step 2 (0.100 g, 0.330 mmol, 1.00 equiv), 3-chloro-1*H*-indazol-5-amine (84 mg, 0.502 mmol, 1.50 equiv) and PS-carbodiimide resin (456 mg, 0.502 mmol, 1.00 equiv) were suspended in 2.0 mL DMF and stirred overnight at room temperature. The reaction mixture was concentrated to approximately 1mL DMF and water was added. The resulting precipitate was collected by filtration and washed with 50% CH<sub>2</sub>Cl<sub>2</sub>/hexanes. The product was purified by preparative reverse phase HPLC (19 x 50 Xterra Prep RP 10-90%CH<sub>3</sub>CN/5mM NH<sub>4</sub>HCO<sub>3</sub> over 9 min, 25 ml/min) to provide 5.0 mg (3%) of the title compound as a white solid. MS (ES+) m/e 449 [M+H]<sup>+</sup>

10

15      **Example 12: *N*-(3-Chloro-1*H*-indazol-5-yl)-4-(4-fluorophenyl)-2-methyl-6-oxo-1,4,5,6-tetrahydro-3-pyridinecarboxamide.**

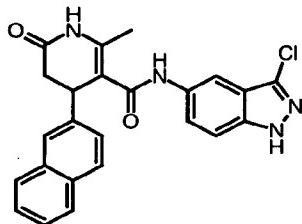


20       The product from Example 2, Step 2 (170 mg, 0.68 mmol, 1.0 equiv) was combined with 3-chloro-1*H*-indazol-5-amine (172 mg, 1.02 mmol, 1.5 equiv) and PS-carbodiimide resin (0.994 mmol/g loading, 1.085 g, 1.02 mmol, 1.5 equiv) in 10 mL of DMF and reacted overnight at room temperature. The reaction mixture was filtered and washed alternately with MeOH and CH<sub>2</sub>Cl<sub>2</sub> (twice), then with diethyl ether. The filtrate was concentrated en vacuo and redissolved in ethyl acetate. The

solution was washed with 0.5 N HCl, 0.5 N NaOH, and satd. NaCl. The organic layer was concentrated en vacuo. Purification by flash chromatography (0-50% EtOAc in CH<sub>2</sub>Cl<sub>2</sub>) followed by trituration with CH<sub>2</sub>Cl<sub>2</sub> provided 45 mg (16.5%) of the title compound as light pink crystals. MS (ES+) m/e 399 [M+H]<sup>+</sup>.

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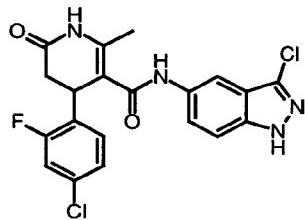
**Example 13: *N*-(3-Chloro-1*H*-indazol-5-yl)-2-methyl-4-(2-naphthalenyl)-6-oxo-1,4,5,6-tetrahydro-3-pyridinecarboxamide.**



10 The product from Example 1, Step 2 (100 mg, 0.36 mmol, 1.0 equiv) was combined with 3-chloro-1*H*-indazol-5-amine (90 mg, 0.53 mmol, 1.5 equiv) and PS-carbodiimide resin (0.994 mmol/g loading, 564 mg, 0.53 mmol, 1.5 equiv) in 5 mL of DMF and reacted overnight at room temperature. The reaction mixture was filtered and washed alternately with MeOH and CH<sub>2</sub>Cl<sub>2</sub> (twice), then with diethyl ether. The filtrate was concentrated en vacuo and redissolved in ethyl acetate. The solution was washed with 0.5 N HCl, 0.5 N NaOH, and satd. NaCl. The organic layer was concentrated en vacuo. The residue was triturated with methylene chloride/methanol/ethyl acetate to provide 20 mg (13%) of the title compound as a light pink powder. MS (ES+) m/e 431 [M+H]<sup>+</sup>.

15

**Example 14: 4-(4-Chloro-2-fluorophenyl)-*N*-(3-chloro-1*H*-indazol-5-yl)-2-methyl-6-oxo-1,4,5,6-tetrahydro-3-pyridinecarboxamide.**



The product from Example 3, Step 2 (103 mg, 0.36 mmol, 1.0 equiv) was combined with 3-chloro-1*H*-indazol-5-amine (91 mg, 0.54 mmol, 1.5 equiv) and PS-carbodiimide resin (0.994 mmol/g loading, 580 mg, 0.54 mmol, 1.5 equiv) in 6 mL of DMF and reacted overnight at room temperature. The reaction mixture was  
5 filtered and washed alternately with MeOH and CH<sub>2</sub>Cl<sub>2</sub> (twice), then with diethyl ether. The filtrate was concentrated en vacuo and redissolved in EtOAc. The solution was washed with 0.5 N HCl, 0.5 N NaOH and satd. NaCl. The organic layer was concentrated en vacuo. Following purification by flash chromatography (0-50% EtOAc in CH<sub>2</sub>Cl<sub>2</sub>), the solid was triturated with CH<sub>2</sub>Cl<sub>2</sub> to provide 10 mg  
10 (6.5%) of the title compound as light pink crystals. MS (ES+) m/e 434 [M+H]<sup>+</sup>.

***ROCK kinase assay:***

ROCK inhibitor activity was determined using human recombinant ROCK1 kinase domain (amino acid 2-543) expressed in Sf9 cells (see WO9967283). The enzyme was purified using His-tag NTA column and Source15 HPLC  
15 chromatography. The assay of Rock-1 activity involved incubation with peptide substrate and ATP<sup>33</sup>, the subsequent incorporation of P<sup>33</sup> into the peptide was quantified by Scintillation Proximity Assay (SPA - Amersham Pharmacia).

For IC<sub>50</sub> determination, test compounds were typically dissolved at 10mM in 100% DMSO, with subsequent serial dilution in 100% DMSO. Compounds were  
20 typically assayed over an eleven point dilution range with a concentration in the assay of 50uM to 0.8nM, in 3-fold dilutions. IC<sub>50</sub> values were calculated by bespoke curve fitting software and then converted to pIC<sub>50</sub>.

Assays were performed in opaque, white walled, 384 well plates, in a total assay volume of 20ul. The assays contained: 1nM hROCK1; 1uM biotinylated  
25 peptide (biotin-Ahx-AKRRRLSSLRA-CONH<sub>2</sub>); 1uM ATP; 1.85kBq per well ATP(<sup>33</sup>P); 25mM Hepes pH 7.4; 15mM MgCl<sub>2</sub>; 0.015% BSA. The reactions were incubated at 22°C for 120 minutes, then terminated by the addition of a 50ul solution containing 60mM EDTA and streptavidin PVT SPA beads. The SPA beads were added to a concentration of 0.14mg per well. The plates were allowed to  
30 incubate at 22°C for 10 minutes before centrifugation at 1500 rpm for 1 minute. P<sup>33</sup> incorporation was quantified by scintillation counting in a Packard TopCount.

All publications, including but not limited to patents and patent applications, cited in this specification are herein incorporated by reference as if each individual publication were specifically and individually indicated to be incorporated by

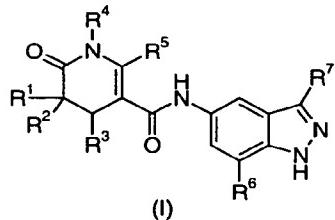
- 5 reference herein as though fully set forth.

The above description fully discloses the invention including preferred embodiments thereof. Modifications and improvements of the embodiments specifically disclosed herein are within the scope of the following claims. Without further elaboration, it is believed that one skilled in the art can, using the preceding

- 10 description, utilize the present invention to its fullest extent. Therefore the Examples herein are to be construed as merely illustrative and not a limitation of the scope of the present invention in any way. The embodiments of the invention in which an exclusive property or privilege is claimed are defined as follows.

What is claimed is:

1. A compound according to formula (I) herein below:



and physiologically acceptable salts wherein:

R<sup>1</sup> and R<sup>2</sup>, are, independently selected from the group consisting of hydrogen and optionally substituted C<sub>1</sub>-C<sub>6</sub> alkyl such that R<sup>1</sup> and R<sup>2</sup> can represent a ring;

R<sup>3</sup> is selected from the group consisting of optionally substituted C<sub>1</sub>-C<sub>6</sub> alkyl, optionally substituted C<sub>1</sub>-C<sub>6</sub> alkenyl, optionally substituted C<sub>1</sub>-C<sub>6</sub> alkynyl and optionally substituted aryl or heteroaryl;

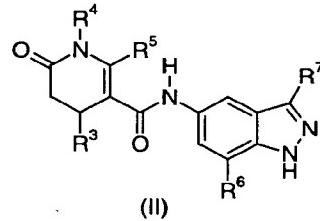
R<sup>4</sup> is selected from the group consisting of hydrogen and optionally substituted C<sub>1</sub>-C<sub>6</sub> alkyl, such that R<sup>4</sup> and R<sup>5</sup> can represent a ring;

R<sup>5</sup> is selected from the group consisting of optionally substituted C<sub>1</sub>-C<sub>3</sub> alkyl, such that R<sup>4</sup> and R<sup>5</sup> can represent a ring;

R<sup>6</sup> and R<sup>7</sup>, are, independently selected from the group consisting of hydrogen, halogen, and optionally substituted C<sub>1</sub>-C<sub>3</sub> alkyl;

and pharmaceutically acceptable salts thereof.

2. A compound according to claim 1 having general formula (II)



wherein:

R<sup>3</sup> is selected from the group consisting of optionally substituted C<sub>1</sub>-<sub>6</sub> alkyl, optionally substituted C<sub>1</sub>-<sub>6</sub> alkenyl, optionally substituted C<sub>1</sub>-<sub>6</sub> alkynyl and optionally substituted aryl or heteroaryl;

R<sup>4</sup> is selected from the group consisting of hydrogen or optionally substituted C<sub>1</sub>.<sub>2</sub> alkyl;

R<sup>5</sup> is selected from the group consisting of optionally substituted C<sub>1</sub>.<sub>2</sub> alkyl;

R<sup>6</sup> and R<sup>7</sup>, are, independently selected from the group consisting of hydrogen and halogen;  
and pharmaceutically acceptable salts thereof.

3. A compound according to claim 1 selected from the group consisting of  
*N-1H-Indazol-5-yl-2-methyl-4-(2-naphthalenyl)-6-oxo-1,4,5,6-tetrahydro-3-pyridinecarboxamide;*  
*4-(4-Fluorophenyl)-N-1H-indazol-5-yl-2-methyl-6-oxo-1,4,5,6-tetrahydro-3-pyridinecarboxamide;*  
*4-(4-Chloro-2-fluorophenyl)-N-1H-indazol-5-yl-2-methyl-6-oxo-1,4,5,6-tetrahydro-3-pyridinecarboxamide;*  
*4-(4-Chlorophenyl)-N-1H-indazol-5-yl-2-methyl-6-oxo-1,4,5,6-tetrahydro-3-pyridinecarboxamide;*  
*N-1H-Indazol-5-yl-2-methyl-6-oxo-4-[4-(trifluoromethyl)phenyl]-1,4,5,6-tetrahydro-3-pyridinecarboxamide;*  
*4-(4-Biphenylyl)-N-1H-indazol-5-yl-2-methyl-6-oxo-1,4,5,6-tetrahydro-3-pyridinecarboxamide;*  
*4-(3,4-Dichlorophenyl)-N-1H-indazol-5-yl-2-methyl-6-oxo-1,4,5,6-tetrahydro-3-pyridinecarboxamide;*  
*4-(4-Fluorophenyl)-N-1H-indazol-5-yl-1,2-dimethyl-6-oxo-1,4,5,6-tetrahydro-3-pyridinecarboxamide;*  
*4-[2-Fluoro-4-(trifluoromethyl)phenyl]-N-1H-indazol-5-yl-2-methyl-6-oxo-1,4,5,6-tetrahydro-3-pyridinecarboxamide;*  
*N-1H-Indazol-5-yl-2-methyl-6-oxo-4-(3-quinolinyl)-1,4,5,6-tetrahydro-3-pyridinecarboxamide;*  
*N-(3-Chloro-1H-indazol-5-yl)-2-methyl-6-oxo-4-[4-(trifluoromethyl)phenyl]-1,4,5,6-tetrahydro-3-pyridinecarboxamide;*  
*4-(4-Chloro-2-fluorophenyl)-N-(3-chloro-1H-indazol-5-yl)-2-methyl-6-oxo-1,4,5,6-tetrahydro-3-pyridinecarboxamide;*  
*N-(3-Chloro-1H-indazol-5-yl)-2-methyl-4-(2-naphthalenyl)-6-oxo-1,4,5,6-tetrahydro-3-pyridinecarboxamide; and*  
*N-(3-Chloro-1H-indazol-5-yl)-4-(4-fluorophenyl)-2-methyl-6-oxo-1,4,5,6-tetrahydro-3-pyridinecarboxamide.*

4. A method of inhibiting Rho-kinases comprising administering to a subject in need thereof a safe and effective amount of a compound according to claim 1.

5. A method according to claim 4 wherein the disease is selected from the group consisting of:

hypertension, chronic and congestive heart failure, ischemic angina, cardiac hypertrophy and fibrosis, restenosis, chronic renal failure, atherosclerosis, asthma, male erectile dysfunctions, female sexual dysfunction and over-active bladder syndrome, stroke, multiple sclerosis, Alzheimer's disease, Parkinson's disease, amyotrophic lateral sclerosis, inflammatory pain, rheumatoid arthritis, irritable bowel syndrome, inflammatory bowel disease, Crohn's diseases, indications requiring neuronal regeneration, inducing new axonal growth and axonal rewiring across lesions within the CNS, spinal cord injury, acute neuronal injury, Parkinsons disease, Alzheimers disease, cancer, tumor metastasis, viral and bacterial infection, insulin resistance and diabetes.

6. A method according to claim 5 wherein the disease is selected from the group consisting of:

hypertension, chronic and congestive heart failure, ischemic angina, asthma, male erectile dysfunction, female sexual dysfunction, stroke, inflammatory bowel diseases, spinal cord injury, glaucoma and tumor metastasis.

7. A method according to claim 5 wherein the disease is selected from the group consisting of:

hypertension, chronic and congestive heart failure and ischemic angina.

8. A pharmaceutical composition comprising a compound according to claim 1 and a suitable carrier.

**ABSTRACT OF THE DISCLOSURE**

Novel inhibitors of Rho-kinases are disclosed.